

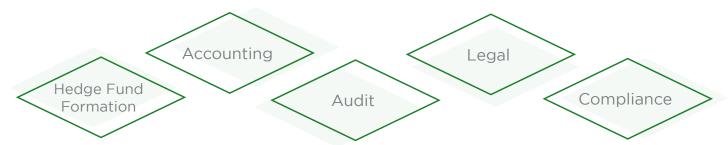
# **Prime Brokerage**

The Lightspeed Prime Brokerage group at Lime is dedicated to helping our clients start and grow their businesses. Every business is unique and has inherited unique challenges. We understand this and offer a personalized approach to our Prime Brokerage clients.

The complexities and challenges of starting and managing successful hedge funds, mutual funds, separately managed accounts or family offices can be a daunting task. Navigating regulatory, risk management, financials, and legal challenges rarely get easier and can be time-consuming. Our experienced staff is ready to get to know you and your business to provide you with tailored solutions and support through our suite of brokerage offerings.

#### **Start-up Solutions**

When partnering with Lightspeed you not only receive our expertise, but we can connect you to our network of seasoned financial professionals to create a team of specialists to fit your needs. There are many areas where collaboration and alignment with service providers are necessary and a critical component to your long-term success. Our expert staff can introduce you to a network of financial professionals to help you with:



## **Trading Solutions**

At Lightspeed, we offer our prime clients access to a variety of order execution systems customized for specific asset types and can also serve as your personal trading desk with the flexibility to route order flow to the brokers of your choice.

- State of the art electronic trading
  - Lightspeed Trader
  - Livevol X
  - Sterling Trader
  - Lime Trading Gateway

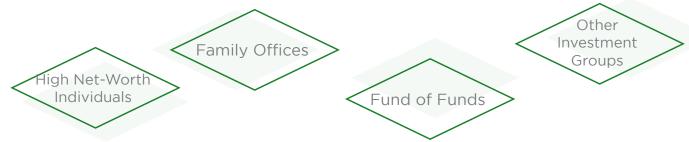
- Eze EMS
- Silexx
- CQG

High-touch execution service through our desk in New York or Chicago

#### **Capital Introduction**

For those managers that need to raise capital to launch a new fund or increase their investor base we can help by:

- Streamlining and optimizing your business plan and strategy
- Helping you create business development goals that will help meet your objectives
- Offering introductions to:



## **Custody/Clearing**

Lightspeed Prime Brokerage introduces accounts on a fully disclosed basis for custody, clearing, and settlement of domestic and international securities to:

- Wedbush Securities
- Wedbush Futures
- Interactive Brokers

### **Portfolio Reporting**

Investment Managers need access to reporting for accounting, performance, and risk. We provide two options for you:

- Lightspeed's trade reporting software, Managed Trades System (MTS)
- Customizable solutions by Nirvana Solutions a third-party vendor

#### **Enhanced Leverage**

Prime brokerage clients can qualify for the ability to extend their exposure depending on their trading strategy and needs.

- Regulation T Margin Account you may borrow 50% of the purchase price of securities that can be bought on margin
- Portfolio Margin Qualifying clients may increase their leverage beyond the 4:1 intraday or 2:1 overnight margin available in a standard day trading margin account

Lime Brokerage does not charge or receive fees for introduction services provided by the Lightspeed Institutional Capital Introduction Team. Furthermore, the Lightspeed Institutional Capital Introduction Team does not provide placement agent, referral, solicitation or equivalent services, and makes no investment recommendations or endorsements of any kind to investors who participate in the Capital Introduction Program.

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