

FORM IRR – RECHARACTERIZATION REQUEST

Section I. Payee Information

Name: _____

Address: _____

City: _____

State: _____

Zip: _____

Date of Birth: _____

SSN / TID # _____

Citizenship: Specify one of the following: ☐ U.S. Citizen ☐ U.S. Resident Alien ☐ Nonresident alien (Form W-8BEN required)

Section II. Recharacterization

RECHARACTERIZE:

☐ **TOTAL ACCOUNT VALUE (including assets)**☐ **PARTIAL** 1) Indicate value of the cash/assets (on the date of contribution or conversion) that you want to recharacterize:\$ _____ (plus all interest, earnings and losses attributable to
recharacterization amount as calculated by the WS Retirement Services Dept.)2) Indicate which assets (including number of shares) and amount of cash you wish to move to satisfy the
recharacterization. The current market value of the cash/assets listed below should equal the dollar
amount indicated above including earnings or losses attributable to that amount._____

From FIRST IRA (Where assets are now):

Account # _____

Type of IRA: ☐ Traditional ☐ Roth ☐ SIMPLEType of Contribution: ☐ Regular ☐ Conversion

Date of Contribution: _____

For Tax Year: _____

To SECOND IRA :

Account # _____

Type of IRA: ☐ Traditional ☐ Roth ☐ SIMPLE

Section III. Attestation and Signature

Per the above instructions, I hereby elect to recharacterize a deposit made to my First IRA as a deposit made to my Second IRA for federal tax purposes. I understand that the transfer must be made on or before my federal tax filing due date (including extensions) for which the deposit was made to the First IRA.

I agree to hold harmless and indemnify Wedbush Securities and all of its officers, directors, employees, shareholders and affiliates from and against any cost, expense or liability whatsoever (including, but not limited to legal fees, or adverse tax, legal or other consequences) that may occur as a result of Wedbush Securities acting on these instructions.

X _____
IRA Owner's Signature_____
DateX _____
Office Approval, Notarization or Signature Guarantee_____
Date